



Att'y Dkt. No. 003-095
U.S. App. No. 10/717711

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Giacomo BOLIS et al.

Appl. No.: 10/717711

Filing Date: 21 November 2003

Title: Fogging Device for Gas Turbines

Art Unit: 3745

Examiner: [to be assigned]

Atty. Docket: 003-095

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Listed on the accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

- ☒ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- ☐ 2. The Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. Below is a certification under 37 C.F.R. § 1.97(e).


- ☐ b. Attached is my check no. _____ in the amount of \$ _____ in payment of the fee under 37 C.F.R. § 1.17(p).
- ☐ c. Please charge my Deposit Account No. 50-2821 in the amount of \$ _____ for the fee under 37 C.F.R. § 1.17(p)
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant hereby Petitions that the Information Disclosure Statement be considered. ☐ Attached is my check ☐ please charge my Deposit Account No. 50-2821 in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).
- ☐ 4 Certification(s) under 37 C.F.R. § 1.97(e):
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- ☐ b. I hereby certify that no item of information on this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ 5. Relevance of the non-English language document(s) is discussed in the present specification. See pages _____ of the specification of the present application.
- ☒ 6. The document(s) was/were cited in a corresponding foreign application; ☒ a copy of a search report issued in the foreign application is attached, and/or ☐ an English language version of the foreign search report is attached for the Examiner's information. M.P.E.P. § 609 III (A)(3).
- ☐ 7. A concise explanation of the relevance of the non-English language document(s) ☐ is attached hereto or ☐ appears below.
- ☐ 8. The Examiner's attention is directed to co-pending U.S. Patent Application No. _____, filed _____, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.
- ☐ 9. Copies of the documents were cited by or submitted to the Office in Application No. _____, filed _____, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

- ☐ 10. Copies of the documents cited in the International Search Report (PCT/ISA/210) and/or the International Preliminary Examination Report (PCT/IPEA/416) have been received by the U.S. P.T.O., as indicated on the Notification of Acceptance (PCT/DO/EO/903); accordingly, additional copies are not provided herewith. M.P.E.P. §§ 609 II, 1892.03(g).
- ☒ 11. Copies of the U.S. patent documents cited on the PTO-1449 filed herewith are NOT provided, in accordance with Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003, 1276 Off. Gaz. Pat. Office 55 (August 5, 2003).
- ☐ 12. Other: _____.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

Respectfully submitted,

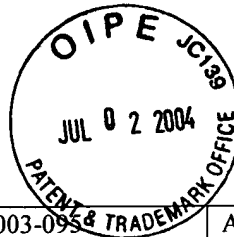
By:


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Date: 2 July 2004

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FORM PTO 1449 (modified) INFORMATION DISCLOSURE STATEMENT	Att'y. Ref.. No.: 003-0954	App. No.: 10/717711
	APPLICANT(S): Bolis et al	
	FILING DATE: 21 Nov. 2003	Group Art Unit: 3745

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUB'N DATE	NAME	CLASS	SUBCLASS	FILING DATE
	3785625	15 Jan. 1974	Engalitcheff, Jr.	261	29	
	3839846	8 Oct. 1974	Teller	55	15	
	4773846	27 Sept. 1988	Munk	431	4	
	4845952	11 July 1989	Beebe	60	737	
	5867977	9 Feb. 1999	Zachary et al.	60	39.53	
	5930990	3 Aug. 1999	Zachary et al.	60	39.53	
	6027305	22 Feb. 2000	Ng et al.	415	119	
	6216443	17 Apr. 2001	Utamura	60	39.53	
	6378284	30 Apr. 2002	Utamura	60	39.05	
	2001/0032477	25 Oct. 2001	Schlom et al.	62	310	23 Feb. 2001
	2004/0103667	3 June 2004	Frutschi	60	775	21 Nov. 2003

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUB'N DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	199 13 681 A ✓	5 Oct. 2000	DE			<input type="checkbox"/> Yes <input type="checkbox"/> No
	696 07 471 T2 ✓	20 May 1998	DE			<input type="checkbox"/> Yes <input type="checkbox"/> No
	2000320835 A	24 Nov. 2000	JP			<input type="checkbox"/> Yes <input type="checkbox"/> No
	99/44722 ✓	10 Sept. 1999	WIPO			<input type="checkbox"/> Yes <input type="checkbox"/> No
	00/50739 ✓	31 Aug. 2000	WIPO			<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No

OTHER (Including Author, Title, Date, Pertinent Pages, Publisher, etc.)

	Search Report from EP 03104186.6 (29 Jan. 2004)
	Search Report from DE 102 54 825.0 (26 Aug. 2003)
	Patent Abstracts of Japan, vol. 2000, no. 15 (6 April 2001) & JP 2000 352320 A (Nakamura Yoshihide) (19 Dec. 2000)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	